



INDSEC SECURITIES AND FINANCE LTD.

• Corporate Members •

Regulated by SEBI

• Depository Participant •

BSE Ltd.

National Securities Depository Ltd.

National Stock Exchange of India Ltd.
Metropolitan Stock Exchange of India Ltd.

• Portfolio Manager •

INVESTOR COMPLAINTS DATA – DEPOSITORY PARTICIPANT ACTIVITIES

[SEBI REGISTRATION NO. IN-DP-475-2020]

Data for Month ended						January 2023		
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time (in days)
						Pending for less than 3 months	Pending at the end of the month	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
3	Depositories	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	N.A.

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1.	April 2022	NIL	NIL	NIL	NIL
2.	May 2022	NIL	NIL	NIL	NIL
3.	June 2022	NIL	NIL	NIL	NIL
4.	July 2022	NIL	NIL	NIL	NIL
5.	August 2022	NIL	NIL	NIL	NIL
6.	September 2022	NIL	NIL	NIL	NIL
7.	October 2022	NIL	NIL	NIL	NIL
8.	November 2022	NIL	NIL	NIL	NIL
9.	December 2022	NIL	NIL	NIL	NIL
10.	January 2023	NIL	NIL	NIL	NIL
11.	February 2023	-	-	-	-
12.	March 2023	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

Trend of Annual Disposal of Complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	2018-19	NIL	NIL	NIL	NIL
2.	2019-20	NIL	NIL	NIL	NIL
3.	2020-21	NIL	NIL	NIL	NIL
4.	2021-22	NIL	NIL	NIL	NIL
5.	2022-23	NIL	NIL	NIL	-
	Grand Total	NIL	NIL	NIL	NIL

CIN : U67120MH1993PLC073185 PAN : AAACI1958G GSTIN : 27AAACI1958G1ZL

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